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Date: April 22, 2005

Sonia V. McVean  
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**PATENT**  
36856.1242

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Norio TANIGUCHI et al. Serial No.: 10/811,837 Filing Date: March 30, 2004 For: SURFACE ACOUSTIC WAVE BRANCHING FILTER	Art Unit: 2817 Examiner: B. Summons
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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of eleven (11) references cited in the enclosed Search Report issued in the corresponding PCT Application No. PCT/2004/004782. For the Examiner's convenience, we have enclosed a completed Form PTO-1449.

Although the Search Report cites one additional reference, JP 11-068512, Applicants do not cite it on the attached Form PTO 1449 as JP 11-068512 was cited in an Information Disclosure Statement previously submitted on March 30, 2004.

Applicants cite USP 6,111,478 because it is the U.S. equivalent of JP 11-112264.

Applicants cite US Patent Publication No. 2003/0020562 because it is the U.S. equivalent of JP 2003-115748.

Applicants cite USP 5,561,406 because it is the U.S. equivalent of JP 10/270976.


Applicants cite US Patent Publication No. 2001/0052830 because it is the U.S. equivalent of JP 2001-313542.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

No fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,



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Substitute for form 1449/PTO

## Complete if Known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Application Number	10/811,837
Filing Date	March 30, 2004
First Named Inventor	Norio TANIGUCHI
Art Unit	2817
Examiner Name	B. Summons
Attorney Docket Number	36856.1242

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	6,111,478	08/2000	Furutani et al.	
	2	2003/0020562	01/2003	Ikada et al.	
	3	5,561,406	10/1996	Ikada et al.	
	4	2001/0052830	12/2001	Noguchi et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM -YYYY	Country of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number-Kind Code <sup>5</sup> (if known)				
	5	JP 2001-313542 (English abstract and machine translation)	11/2001	JAPAN		X
	6	JP 61-015409 (English abstract)	01/1986	JAPAN		X
	7	JP 10-270976 (English abstract and machine translation)	10/1998	JAPAN		X
	8	JP 05-259720 (English abstract and machine translation)	10/1993	JAPAN		X
	9	JP 11-112264 (English abstract and machine translation)	04/1999	JAPAN		X
	10	JP 09-036305 (English abstract and machine translation)	02/1997	JAPAN		X
	11	JP 2003-115748 (English abstract and machine translation)	04/2003	JAPAN		X

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.